

Practitioner's Docket No.: 868\_006

#6

## IN THE UNITED STATES ELECTED OFFICE (EO/US)

U.S. Application No.: 10/530,125

International Application No.: PCT/JP2003/013020

International Filing Date: October 10, 2003

Earliest Priority Date Claimed: October 11, 2002

Title of Invention: GLP-1 DERIVATIVE AND PREPARATION THEREOF ABSORBABLE  
VIA MUCOUS MEMBRANEApplicant(s) for EO/US: Yuji HAYASHI, Mitsuhiro MAKINO, Toshiyuki KOUZAKI, Motohiro  
TAKEDA and Takahito JOMORIMail Stop PCT  
Attention: EO/US  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to the Mail Stop PCT, Attention: EO/US, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 27, 2005 under "EXPRESS MAIL" mailing label number EV 682619960 US

*Tara L. Preston*  
Tara L. Preston

COMPLETION OF FILING REQUIREMENTS FOR INTERNATIONAL APPLICATION  
ENTERING NATIONAL STAGE IN U.S. ELECTED OFFICE (EO/US)  
UNDER 35 U.S.C. 371

Sir:

1. [x] This replies to the Notice to File Missing Requirements mailed August 31, 2005. A copy of the Notice to File Missing Requirements is enclosed.

## 2. Papers Enclosed

- [x] A combined Declaration and Power of Attorney
- [ ] A Preliminary Amendment
- [x] An Assignment and Assignment Transmittal
- [ ] A Submission of Certified Copy of Priority Document
- [ ] An Information Disclosure Statement
- [x] A Transmittal of Sequence Listing in Computer Readable Form

## 3. Transmittal of English Translation of Non-English Language Papers

- [ ] Submitted herewith is an English translation of the non-English language international application papers *including drawings* as originally filed. Also submitted herewith is a statement by the translator of the accuracy of the translation. It is requested that this translation be used as the copy for examination purposes in the PTO.

4. The filing fee has been calculated as shown below:

Basic Filing Fee				\$ 300.00
Search Fee				\$ 400.00
Examination Fee				\$ 200.00
Total Sheets	Extra Sheets	Number of each additional 50 sheets or fraction thereof (round up to a whole number)	Rate	
36 - 100 =	0/50 =	0	× \$250.00	
Surcharge fees (37 CFR §1.16(e))--\$130.00 <input checked="" type="checkbox"/> late payment of filing fee <i>and/or</i> <input checked="" type="checkbox"/> late filing of original declaration or oath				\$ 130.00
Claims				
Claims	Number Filed	Number Extra	Rate	
Total Claims	17 - 20 =	0	× \$ 50.00	
Independent Claims	3 - 3 =	0	× \$200.00	
Multiple Dependent Claim(s) (if applicable)			+ \$360.00	
Extension of Time a. <input checked="" type="checkbox"/> Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time. OR b. <input type="checkbox"/> Applicant petitions for an extension of time, the fees for which are set out in 37 CFR §1.17(a)(1)-(4), for the total number of months checked below: <input type="checkbox"/> One Month (37 CFR 1.17(a)(1)) ..... \$ 120.00 <input type="checkbox"/> Two Months (37 CFR 1.17(a)(2)) ..... \$ 450.00 <input type="checkbox"/> Three Months (37 CFR 1.17(a)(3)) ..... \$1,020.00 <input type="checkbox"/> Four Months (37 CFR 1.17(a)(4)) ..... \$1,590.00				
TOTAL OF ABOVE CALCULATIONS =				\$1,030.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR §1.27. The fees indicated above are reduced by 1/2.				
SUBTOTAL =				\$1,030.00
<input type="checkbox"/> Processing fee of \$130.00 for furnishing the English translation later than 30 months after the priority date (37 CFR §1.492(f)).				
TOTAL NATIONAL FEE =				\$1,030.00
<input checked="" type="checkbox"/> Assignment Fee (37 CFR §1.21(h))--\$40.00				\$ 40.00
TOTAL FEES ENCLOSED =				\$1,070.00

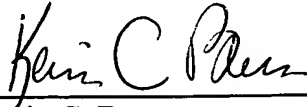
5. Payment of Fees

- ☒ Of the Total Fees Due, \$1,030.00 was paid at the time of filing.  
☒ A check in the amount of \$40.00 is enclosed.  
☐ Charge Deposit Account 50-1446 in the amount of \$\_\_\_\_\_.

**6. Authorization to Charge Additional Fees or Credit Overpayment**

[x] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1446 (a duplicate copy of this sheet is enclosed).

Respectfully submitted,



Kevin C. Brown  
Reg. No. 32,402

October 27, 2005

Date

KCB/tlp

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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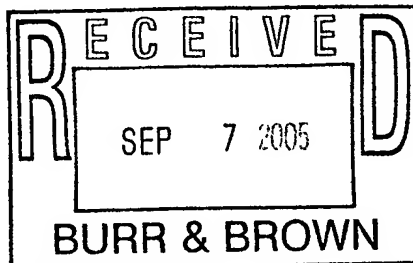
U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/530,125	Yuji Hayashi	868_006

INTERNATIONAL APPLICATION NO.
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PCT/JP03/13020

I.A. FILING DATE	PRIORITY DATE
10/10/2003	11/10/2002

025191  
 BURR & BROWN  
 PO BOX 7068  
 SYRACUSE, NY 13261-7068



CONFIRMATION NO. 1475  
 371 FORMALITIES LETTER  
 \*OC000000016891287\*  
 \*OC000000016891287\*

Date Mailed: 08/31/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/04/2005
- English Translation of the IA filed on 04/04/2005
- Copy of the International Search Report filed on 04/04/2005
- Preliminary Amendments filed on 04/04/2005
- Information Disclosure Statements filed on 04/04/2005
- Biochemical Sequence Listing filed on 04/04/2005
- Request for Immediate Examination filed on 04/04/2005
- U.S. Basic National Fees filed on 04/04/2005
- Priority Documents filed on 04/04/2005
- Power of Attorney filed on 04/04/2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu

of a new CRF.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

**For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:**

- **For Rules Interpretation, call (571) 272-0951**
- **For Patent Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.**
- **Send e-mail correspondence for Patent Software Program Help @ [ebc@uspto.gov](mailto:ebc@uspto.gov)**

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/530,125	PCT/JP03/13020	868_006